

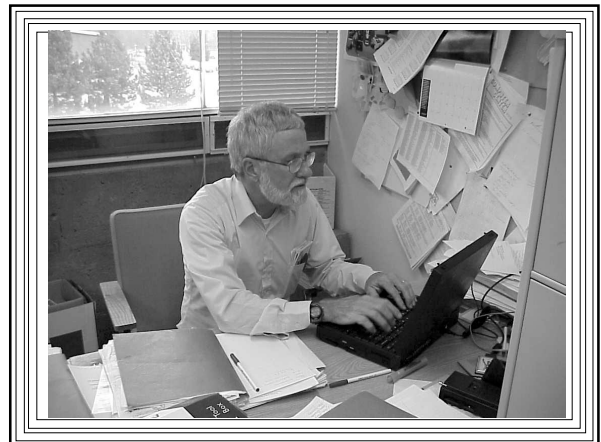


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**CS 460/560**  
**Computer Graphics**  
**Professor Richard Eckert**  
**Lecture # 23**  
**May 2, 2001**



## Lecture 23

- 3D Graphics using OpenGL
  - Illumination and Shading
- Hidden Surface Removal Revisited
  - Depth Sort
- Shadows

## Illumination & Reflection in OpenGL

- Uses the Phong Illumination/Reflection Model

## Final Phong Illumination/Reflection Model Result (Single Light Source)

- Three color intensity equations:  
 $I(r,g,b) = \text{Ambient} + \text{Point Diffuse} + \text{Point Specular}$   
 $I(r,g,b) = kd(r,g,b)*I_a$   
 $\quad + I_p*kd(r,g,b)*(N.L)$   
 $\quad + I_p*ks*(R.V)^n$

## Illumination & Reflection in OpenGL

- Define Light Sources
- Define Material Properties
- Define Normal Vectors
- Specify Shading Model
- Enable Depth Testing (Z-Buffer)

## Defining a Light Source

- Set up Arrays of lighting values  
`GLfloat ambLight0[] = {0.3f, 0.3f, 0.3f, 1.0f}; // R,G,B, $\alpha$`   
`GLfloat diffLight0[] = {0.5f, 0.5f, 0.5f, 1.0f};`  
`GLfloat specLight0[] = {0.0f, 0.0f, 0.0f, 1.0f};`  
`GLfloat posnLight0[] = {1.0f, 1.0f, 1.0f, 0.0f}; // x,y,z,w`
- Pass Arrays to OpenGL  
`glLightfv(GL_LIGHT0, GL_AMBIENT, ambLight0);`  
`glLightfv(GL_LIGHT0, GL_DIFFUSE, diffLight0);`  
`glLightfv(GL_LIGHT0, GL_SPECULAR, specLight0);`  
`glLightfv(GL_LIGHT0, GL_POSITION, posnLight0);`

## Enabling a Light Source

- Turning on the light source  
`glEnable(GL_LIGHTING);`  
`glEnable(GL_LIGHT0);`

## Material Reflection Properties

- Set up Material Arrays
  - ambient/diffuse reflection coefficients  
GLfloat mat\_ambdiff[ ] = {0.0f, 0.7f, 0.0f, 1.0f};
  - specular reflection coefficient  
GLfloat mat\_spec[ ] = {1.0f, 1.0f, 1.0f, 1.0f};
- Pass Material Arrays to OpenGL

```
glMaterialfv(GL_FRONT,
GL_AMBIENT_AND_DIFFUSE,
mat_ambdiff);
glMaterialfv(GL_FRONT, GL_SPECULAR, mat_spec);
glMaterialf(GL_FRONT, GL_SHININESS, 20.0f);
```

## Defining Normals

- Must compute normals for all polygons
- OpenGL has no function to do that
  - So write your own
- Assume the result is:  
double n[3];
- Use this when you define the polygon

```
glBegin(GL_POLYGON)
glNormal3f((GLfloat)n[0], (GLfloat)n[1], (GLfloat)n[2]);
// glVertex3f() calls here for polygon vertices
glEnd();
```

## Specify a Shading Model & Enable Depth Testing

```
glShadeModel(GL_FLAT); // use GL_SMOOTH
for Gouraud shading
glEnable(GL_DEPTH_TEST);
glClear(GL_COLOR_BUFFER_BIT |
GL_DEPTH_BUFFER_BIT);
// clear frame buffer and z-buffer
```

## Some sample code (view class: OnDraw)

```
glShadeModel(GL_SMOOTH);
glEnable(GL_DEPTH_TEST);
glClearColor(1.0f, 1.0f, 1.0f, 1.0f);
glClear(GL_COLOR_BUFFER_BIT | GL_DEPTH_BUFFER_BIT);
glMatrixMode(GL_MODELVIEW); glLoadIdentity();
glMaterialfv(GL_FRONT, GL_AMBIENT_AND_DIFFUSE, mat_ambdiff);
glMaterialfv(GL_FRONT, GL_SPECULAR, mat_spec);
glMaterialf(GL_FRONT, GL_SHININESS, 20.0f);
glLightfv(GL_LIGHT0, GL_AMBIENT, ambLight0);
glLightfv(GL_LIGHT0, GL_DIFFUSE, diffLight0);
glLightfv(GL_LIGHT0, GL_SPECULAR, specLight0);
glLightfv(GL_LIGHT0, GL_POSITION, posnLight0);
glEnable(GL_LIGHTING); glEnable(GL_LIGHT0);
DrawCube();
glFlush();
```

## Code from DrawCube() function

```
glTranslatef(0.0f, 0.0f, -3.0f); // position cube
glRotatef(20.0f, 1.0f, 0.0f, 0.0f);
glRotatef(20.0f, 0.0f, 1.0f, 0.0f);
// Draw the polygons of the cube, only one face given here:
double p1[] = {-0.5, 0.5, 0.5}; double p2[] = {-0.5, -0.5, 0.5};
double p3[] = {0.5, -0.5, 0.5}; double n[3];
CalcNormal(p1, p2, p3, n);
glBegin(GL_POLYGON); // only 1 face here, other 5 must be defined
glNormal3f((GLfloat)n[0], (GLfloat)n[1], (GLfloat)n[2]);
glVertex3f(-0.5f, 0.5f, 0.5f);
glVertex3f(-0.5f, -0.5f, 0.5f);
glVertex3f(0.5f, -0.5f, 0.5f);
glVertex3f(0.5f, 0.5f, 0.5f);
glEnd();
```

## Code from CalcNormal(double \*p1, double \*p2, double \*p3, double \*n)

```
// Form two vectors from the points.
double a[3], b[3];
a[0] = p2[0] - p1[0]; a[1] = p2[1] - p1[1]; a[2] = p2[2] - p1[2];
b[0] = p3[0] - p1[0]; b[1] = p3[1] - p1[1]; b[2] = p3[2] - p1[2];
// Calculate the cross product of the two vectors.
n[0] = a[1] * b[2] - a[2] * b[1];
n[1] = a[2] * b[0] - a[0] * b[2];
n[2] = a[0] * b[1] - a[1] * b[0];
// Normalize the new vector.
double length = sqrt(n[0]*n[0]+n[1]*n[1]+n[2]*n[2]);
n[0] = n[0] / length;
n[1] = n[1] / length;
n[2] = n[2] / length;
```

## Back to Hidden Surface Removal

### ● The Depth Sort Technique

## Depth Sort Hidden Surface Removal

### ● Basic Idea

- Order Polygons according to how far away from observer
- Then “paint” them into picture, farthest first, closest last
  - Far surfaces overwritten by near surfaces
- The way artists sometimes paint scenes

## Algorithm

1. Remove back faces (preprocessing step)
2. Decompose remaining polygons into triangles
  - Depth determination easiest for triangles
3. Sort triangles into depth order
4. Apply Painter's Algorithm

## The Depth Sort (step 3)

For each triangle

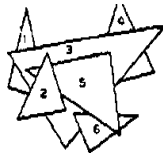
Create a linked list with pointers to all triangles in front of the triangle

And a counter of all triangles in back of the triangle

Gives an array of linked lists

## An Example

| triangle | counter | list  |
|----------|---------|-------|
| 1        | 0       | 3 5 2 |
| 2        | 3       |       |
| 3        | 2       | 2 5 6 |
| 4        | 0       | 3 5   |
| 5        | 4       | 2     |
| 6        | 1       | 5     |



## Painter's Algorithm (step 4)

Repeat

Go through array & draw any triangle whose counter = 0 // it's the farthest

Decrement counter of all triangles in list of drawn triangle // since there's 1 less in back

Mark counter of drawn triangle as finished

Until all triangles have been drawn

### Painter's Algorithm in Action (A)

| Triangle | List  | Counters | Counters after | Picture |
|----------|-------|----------|----------------|---------|
| 1 draw   | 3 5 2 | 0        | *              |         |
| 2        |       | 3        | 2              |         |
| 3        | 2 5 6 | 0        | *              |         |
| 4        | 3 5   | 0        | *              |         |
| 5        | 2     | 4        | 3              |         |
| 6        | 5     | 1        | 1              |         |
| 1        | 3 5 2 | *        | *              |         |
| 2        |       | 2        | 0              |         |
| 3        | 2 5 6 | 1        | *              |         |
| 4 draw   | 3 5   | 0        | *              |         |
| 5        | 2     | 3        | 2              |         |
| 6        | 5     | 1        | 1              |         |
| 1        | 3 5 2 | *        | *              |         |
| 2        |       | 2        | 1              |         |
| 3 draw   | 2 5 6 | 0        | *              |         |
| 4        | 3 5   | *        | *              |         |
| 5        | 2     | 2        | 1              |         |
| 6        | 5     | 1        | 0              |         |

### Painter's Algorithm in Action (B)

|        |       |   |   |  |
|--------|-------|---|---|--|
| 1      | 3 5 2 | * | * |  |
| 2      |       | 1 | 0 |  |
| 3      | 2 5 6 | * | * |  |
| 4      | 3 5   | * | * |  |
| 5      | 2     | 1 | 0 |  |
| 6 draw | 5     | 0 | * |  |
| 1      | 3 5 2 | * | * |  |
| 2      |       | 1 | 0 |  |
| 3      | 2 5 6 | * | * |  |
| 4      | 3 5   | * | * |  |
| 5 draw | 2     | 0 | * |  |
| 6      | 5     | * | * |  |
| 1      | 3 5 2 | * | * |  |
| 2 draw | 3 5 2 | 0 | * |  |
| 3      | 2 5 6 | * | * |  |
| 4      | 3 5   | * | * |  |
| 5      | 2     | * | * |  |
| 6      | 5     | * | * |  |

### Depth Sort (Step 3) Details

Initialize all triangle counters to 0  
 for i = 1 to n-1  
   for j = i+1 to n  
     if triangles i & j "overlap"  
       if triangle j is "in front of" triangle i  
         add triangle j to i's list  
         ctr[j]++  
       else  
         add triangle i to j's list  
         ctr[i]++

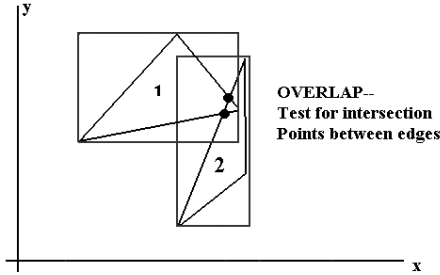
### Determine if Triangles "overlap"

1. Mini-max test (inexpensive)  
 – look at containing rectangles  
 – if rectangles don't overlap, triangles don't

### But if rectangles overlap, triangles may not

2. So check for intersections of pairs of edges  
 – we're working with x-y projections  
 – if projected edges intersect, triangles overlap  
 – 9 tests in all  
 – Can use parametric equations of edges to look for intersections

## 2. Test for Intersection Points between Edges



## Can Use Parametric Equations

$$x = x_1 + (x_2 - x_1)t$$

$$y = y_1 + (y_2 - y_1)t$$

$$x = x_3 + (x_4 - x_3)s$$

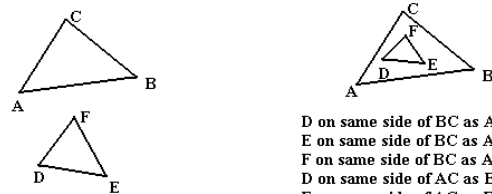
$$y = y_3 + (y_4 - y_3)s$$

Equate & solve for  $s$  &  $t$   
 If  $0 \leq t \leq 1$   
 &  $0 \leq s \leq 1$   
 the edges intersect

3. But if there are no intersections, triangles still could overlap

- One triangle's projection could contain the other's
- So test for containment

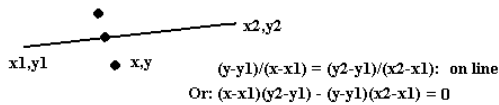
## 3. Test for Containment



D, E, F not on same side of AB as C  $\implies$   
 DEF not contained in ABC

D on same side of BC as A  
 E on same side of BC as A  
 F on same side of BC as A  
 D on same side of AC as B  
 E on same side of AC as B  
 F on same side of AC as B  
 D, E, F not on same side of AB as C  
 E on same side of AB as C  
 F on same side of AB as C  
 $\implies$  DEF is contained in ABC

## Which Side of a Line is a Point on



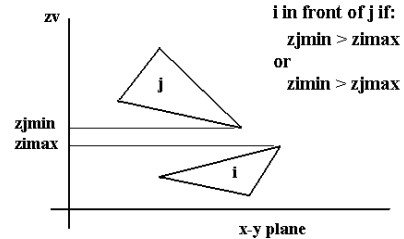
$$f(x, y) = (x - x_1)(y_2 - y_1) - (y - y_1)(x_2 - x_1)$$

$f(x, y) = 0 \implies (x, y)$  on line  
 $f(x, y) < 0 \implies (x, y)$  on one side of line  
 $f(x, y) > 0 \implies (x, y)$  on other side of line

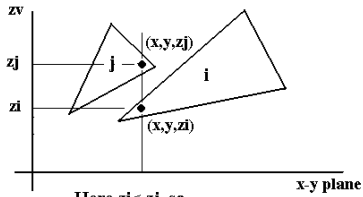
## Determine which Triangle "in front"

### 1. Mini-max test

– if all  $z_v$ 's of  $i$ 's vertices  $<$  all  $z_v$ 's of  $j$ 's, then  $i$  is in front of  $j$



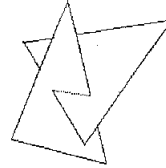
2. If test 1 inconclusive, find a point with same x,y on overlapping triangle projections & compute z for each  
 – Triangle with smaller z is in front



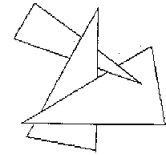
Here  $z_i < z_j$ , so  
 Triangle i is in front of j

### Algorithm won't work for some cases

- Inter-penetrating triangles
- Cyclic overlapping triangles
- So decompose triangles into smaller ones



Two triangles penetrating each other.



Three cyclic overlapping triangles.

### Performance of Depth Sort

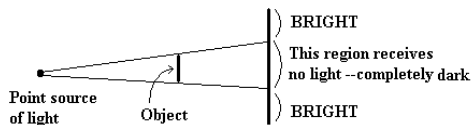
- Depends on number of polygons
- More polygons means slower
- And it's worse than linear

### Shadows

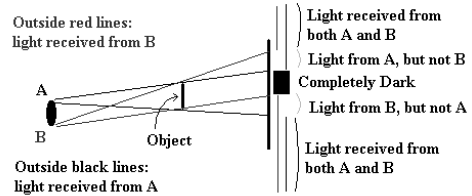
- Very important to our perception of depth
- Shadow position/orientation give information as to how objects relate to each other in space



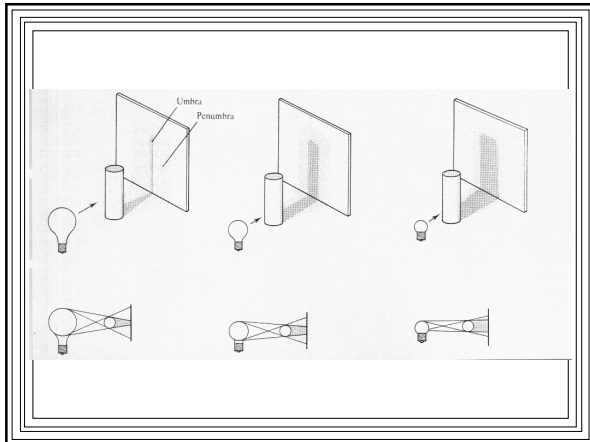
### Sharp Shadows from Point Sources



### Soft Shadows from Extended Sources



Umbra: central area that receives no light (complete shadow)  
 Penumbra: areas in partial shadow (receive light from part of source)



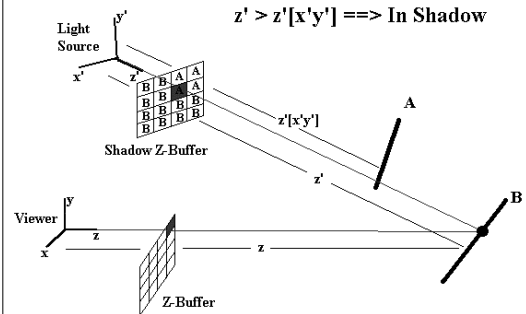
## Shadows from Point Sources

- Look at shadows from point sources
- If a point is in shadow, set Phong  $I_p$  to 0
  - Source gets no light from point source
  - So no reflection from point source
  - Still must include ambient term
- Lots of algorithms
- One of simplest: Shadow Z-Buffer

## Shadow Z-Buffer Algorithm

- A two-stage process
  1. Take source as viewpoint & compute depths
    - Store results in shadow Z-buffer  $Z[x'y']$
    - Each  $Z[x',y']$  will contain distance of closest surface to light source
  2. Normal Z-Buffer rendering
    - But if  $(x,y)$  is closest (visible), transform to light space coordinates  $(x',y',z')$
    - If  $z' > Z[x',y']$  point is in shadow
      - Some object is closer to light & will block it
      - So only include ambient term in computation

## Shadow Z-Buffer



Set up shadow Z-buf  $Z[x',y']$  using coordinate system whose origin is at light source

$Z\text{-buf}[x,y]=\text{infinity}$  for all  $x,y$

for each polygon

for each pixel  $x,y$

calculate  $z$

if  $z < Z\text{-buf}[x,y]$

transform  $x,y,z$  to light coord space  $x',y',z'$

if  $z' > Z[x',y']$

reduce intensity (include only ambient)

$Z\text{-buf}[x,y]=z$ ;  $fb[x,y]=\text{intensity}$