

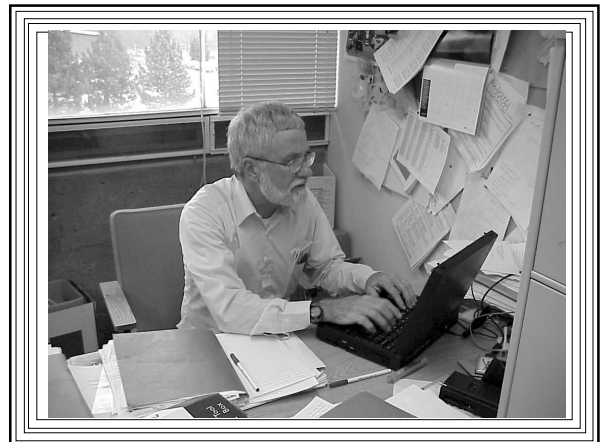


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**CS 460/560**  
**Computer Graphics**  
**Professor Richard Eckert**  
**Lecture # 22**  
**April 30, 2001**



## Lecture 22

- Illumination/Reflection
  - The Phong Model
  - Flat Shading
  - Interpolated Shading
- 3D Graphics using OpenGL
- See CS-460/560 Notes at:  
[http://www.cs.binghamton.edu/~reckert/460/ill\\_refl.htm](http://www.cs.binghamton.edu/~reckert/460/ill_refl.htm)

## Illumination, Reflection, Shading

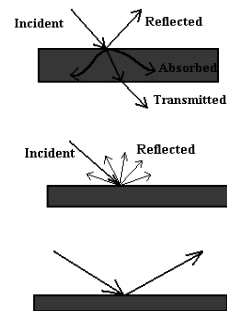
- Need to display surfaces in “natural” colors
  - Colors observed if we really saw the scene
- How do they get those colors?
- Observed Colors Depend on:
  - Light sources in scene
  - Material properties of object surfaces
    - Reflection, Transmission, Absorption
- Need an Illumination/Reflection model

## Light Sources

- Approximate with two types:
  - 1. Ambient (nondirectional, diffuse, background light)
    - Take as a constant
    - Non-directional
    - Grossly approximates multiply-reflected light
    - “Global” reflection
  - 2. Light Sources
    - Approximate with a series of point sources
    - Directional

## Interaction of Light with Surfaces

- Absorption
- Transmission
- Reflection
  - Diffuse
    - Nondirectional
    - Dull, chalky surfaces
    - No highlights
  - Specular
    - Directional
    - Mirror-like surfaces
    - Highlights



## Material Properties

- Incident light reflected to different degrees
  - Depends on physical (material) properties
  - Gives intrinsic color to materials
  - Approximate by giving 3 diffuse reflection coefficients
    - Fractions of red, green blue reflected
    - $k_r, k_g, k_b$  ( $0 \leq k \leq 1$ )
      - 0 means no reflection in that color band
      - 1 means 100% reflection in that band

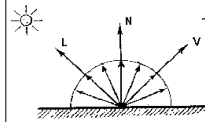
## Phong Model

- Assume all illumination comes from:
  - Ambient Light
  - Point sources
- Diffuse reflection of Ambient light
- Reflection from Point sources:
  - Some is reflected diffusely
  - Some is reflected specularly

## Reflection of Ambient Light

- $I = k_d * I_a$
- $I$  = intensity of ambient light reflected
- $k_d$  = ambient reflection coefficient
- Actually 3 values of  $k_d$ :
  - kr, kg, kb
  - (So this is really three equations)
- $I_a$  = Intensity of ambient light in scene
- $I_a$ , kr, kg, kb are adjustable parameters

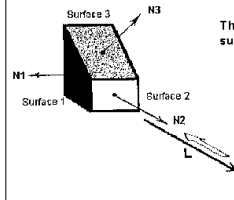
## Diffuse Reflection of a Point Source



- $L$  = Vector from reflecting point to light source
- $N$  = Normal vector to surface at reflecting point
- $I_i$  = Intrinsic intensity of the point source
- $V$  = Vector from reflecting point to view point
- $k_d$  = Diffuse reflection coefficient (0-1)

For perfectly diffuse surfaces the intensity of the reflected light is independent of  $V$ .

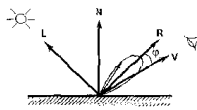
The intensity of light reflected from a diffuse surface depends on the angle between  $N$  and  $L$ .



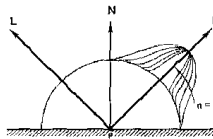
$$I = k_d I_i \cos(\theta)$$

$$I = k_d I_i \hat{N} \cdot \hat{L}$$

## Specular Reflection from a Point Source (Phong Model)



- $L$  = Vector to light source
- $N$  = Normal vector to reflecting surface
- $R$  = Vector in ideal reflection direction
- $V$  = Vector to viewpoint
- $\phi$  = Angle between  $R$  and  $V$
- $k_s$  = Specular reflection coefficient
- $n$  = specular exponent (glossiness)
- $I_i$  = Intrinsic intensity of ith point source



$$I = k_s I_i \cos^n \phi$$

$$I = k_s I_i (\mathbf{R} \cdot \mathbf{V})^n$$

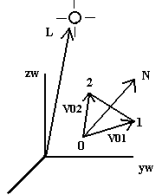
Combining Ambient, Diffuse, and Specular Terms:

$$I(r,g,b) = k_d(r,g,b) I_a + \sum_i I_i [k_d(r,g,b) (\hat{N} \cdot \hat{L}_i) + k_s (\hat{R}_i \cdot \hat{V})^n]$$

## Final Phong Model Result (Single Light Source)

- Three color intensity equations:
- $I(r,g,b) = \text{Ambient} + \text{Point Diffuse} + \text{Point Specular}$
- $I(r,g,b) = k_d(r,g,b) I_a + I_p * k_d(r,g,b) * (\mathbf{N} \cdot \mathbf{L}) + I_p * k_s * (\mathbf{R} \cdot \mathbf{V})^n$
- It can be shown that  $\mathbf{R} = 2 * (\mathbf{N} \cdot \mathbf{L}) \mathbf{N} - \mathbf{L}$  where  $\mathbf{N}$  and  $\mathbf{L}$  are unit vectors
- Note that specular term has no color dependency (First approximation)
- If viewer moves, specular term must be recomputed

## Computing N and L



$$L = \frac{(L_x, L_y, L_z)}{\sqrt{L_x^2 + L_y^2 + L_z^2}}$$

$$dx1 = x1 - x0, dy1 = y1 - y0, dz1 = z1 - z0$$

$$dx2 = x2 - x0, dy2 = y2 - y0, dz2 = z2 - z0$$

$$N = v01 \times v02$$

$$N = \frac{(dx1, dy1, dz1) \times (dx2, dy2, dz2)}{\sqrt{((dx1, dy1, dz1) \times (dx2, dy2, dz2)) \cdot ((dx1, dy1, dz1) \times (dx2, dy2, dz2))}}$$

$$\sqrt{\phantom{x}} = \sqrt{(dy1 * dz2 - dy2 * dz1)^2 + (dx1 * dz2 - dx2 * dz1)^2 + (dx1 * dy2 - dx2 * dy1)^2}$$

Assumes light source is at infinity

If  $\mathbf{N} \cdot \mathbf{L} < 0$ , no light received from point source, so only use ambient light

## CalcNormal(double \*p1,

## double \*p2, double \*p3, double \*n)

// Form two vectors from the points.

double a[3], b[3];

a[0] = p2[0] - p1[0]; a[1] = p2[1] - p1[1]; a[2] = p2[2] - p1[2];

b[0] = p3[0] - p1[0]; b[1] = p3[1] - p1[1]; b[2] = p3[2] - p1[2];

// Calculate the cross product of the two vectors.

n[0] = a[1] \* b[2] - a[2] \* b[1];

n[1] = a[2] \* b[0] - a[0] \* b[2];

n[2] = a[0] \* b[1] - a[1] \* b[0];

// Normalize the new vector.

double length = sqrt(n[0]\*n[0]+n[1]\*n[1]+n[2]\*n[2]);

n[0] = n[0] / length;

n[1] = n[1] / length;

n[2] = n[2] / length; }

## Intensity Computations

- For each polygon
  - Compute  $I(r)$ ,  $I(g)$ , and  $I(b)$  from Phong Formula
  - Scale to Frame Buffer  $r, g, b$  values:
 
$$\frac{I(\text{color})}{\text{Imax}(\text{color})} = \frac{\text{FB}(\text{color})}{\text{FBmax}(\text{color})}$$
    - For True color,  $\text{FBmax}(\text{color})=255$
    - $\text{Imax}(\text{color})$  from formula with all dot products = 1
  - Paint Polygon with resulting FB values
- This is Lambertian Flat Shading

## Rendering Process (Flat Shading)

- 1. Set up polygon model data structures
  - Include info needed for subsequent shading
    - Object list, polygon list, vertex list, light sources ( $L, I_p$ ), reflection properties ( $kr, kg, kb, ks, n$ )
- 2. Apply chain of transformations to model
  - For each vertex get  $(x_v, y_v, z_v)$  and  $(x_s, y_s)$
- 3. Do Back-Face Culling
- 4. Compute & store polygon colors (Phong model)
- 5. Apply Z-Buffer Algorithm and shade polygons

## Data Structures for Flat-Shaded Polygon Mesh Rendering

- 1. Array of objects
- 2. Array of polygons
- 3. Lighting parameters
- Values could come from a scene file

- 1. Array of objects (e.g., for object  $i$ ):

```

Object[i].num_pts // number of vertices in object
Object[i].w_pts[num_pts] // vertex 3D world coords
Object[i].v_pts[num_pts] // vertex 3D viewing coords
Object[i].s_pts[num_pts] // vertex 2D screen coords
Object[i].num_polys // number of polygons
Object[i].poly[num_polys] // array of polygons
// Diffuse reflection coefficients:
Object[i].kr; Object[i].kg; Object[i].kb
Object[i].ks // Specular reflection coefficient
Object[i].n // Specular exponent
  
```

- 2. Array of polygons (e.g., for polygon  $j$ ):

```

poly[j].num_verts // Number of vertices in polygon
poly[j].inds[num_verts] // List of polygon vertices
poly[j].visibility // Back-Face culling visibility
poly[j].ired // Red computed intensity
poly[j].igreen // Green computed intensity
poly[j].iblue // Blue computed intensity
  
```

- 3. Lighting Parameters:

```

la // Ambient Light Intensity (Ia)
num_lights // Number of light sources
Lx[k], Ly[k], Lz[k] // World coords of each light source
Ip[k] // intensity of each light source
  
```

## Scene Description Files

- Viewing parameters ( $\rho$ ,  $\theta$ ,  $\phi$ ,  $scrn\_dist$ )
- Number of objects ( $num\_objs$ )
- For each object:
  - File name of Generic Object Description File
  - x,y,z scaling factors to be applied to object ( $sx,sy,sz$ )
  - rotation angles to be applied to object ( $\alpha z,\alpha y,\alpha x$ )
  - translation distances to be applied to object ( $tx,ty,tz$ )
- Position, Intensity of light sources ( $Lx,Ly,Lz,lp$ )
- Intensity of ambient light ( $la$ )

## Example Scene Description file

```
200, 1000, 45, 60 // scrn_dist,  $\rho$ ,  $\theta$ ,  $\phi$ 
1 // number of objects in scene
pyramid.des // name of generic object description file
1.8, 1.0, 1.0 // sx, sy, sz scaling factors
0, 0, 0 // x, y, z, rotation angles
200, 0, 0 // x, y, z scaling factors
1 // number of light sources
500, 500, 500, 100 // x,y,z & Intensity of light source
50 // ambient light intensity
```

## Generic Object Description Files

- For each object:
  - Number of points ( $num\_pts$ )
  - For each point:
    - 3-D world coordinates of point ( $xw,yw,zw$ )
  - Number of polygons ( $num\_polys$ )
  - For each polygon:
    - Number of vertices ( $num\_verts$ )
    - List of polygon vertices (\*inds)
  - Reflection properties:
    - Diffuse reflection coefficients ( $kr,kg,kb$ )
    - Specular reflection coefficient & exponent ( $ks,n$ )

## Example Generic Object Description File

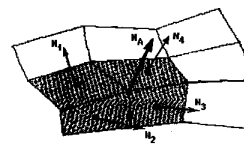
```
// pyramid.des file:

5, 5 // number of vertices and polygons
// World coordinates of pyramid vertices:
(0,0,0), (150,0,0), (150,150,0), (0,150,0), (75,75,150)
//Pyramid polygons:
3,(0,1,4), 3,(1,2,4), 3,(2,3,4), 3,(0,4,3), 4,(0,3,2,1)
0.2, 0.5, 0.9 // kr, kg, kb diffuse reflection coefficients
0.4 // ks specular reflection coefficient
20 // n specular exponent
```

## Interpolated Shading

- To “fake” curved surfaces
- Easiest way--Gouraud shading:
  - Compute vertex intensities
  - Double Interpolate values across polygon
    - Should be done at same time as Z-Buffer interpolations
  - Gives a curved appearance to surfaces

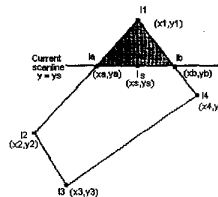
### Interpolated (Gouraud) Shading



1. Calculate the Vertex Normal as the average of the surface normals of the polygons surrounding the vertex.

$$N_A = \frac{N_1 + N_2 + N_3 + N_4}{4}$$

2. Calculate a Vertex Intensity for each vertex using the Phong model.



3. When scan converting the polygon, calculate the intensity of a pixel by double interpolation:

$$Ia = I1 + \frac{(I2 - I1)}{(y2 - y1)} (ys - y1)$$

$$Ib = I1 + \frac{(I4 - I1)}{(y4 - y1)} (ys - y1)$$

$$Is = Ia + \frac{(Ib - Ia)}{(xb - xa)} (xs - xa)$$

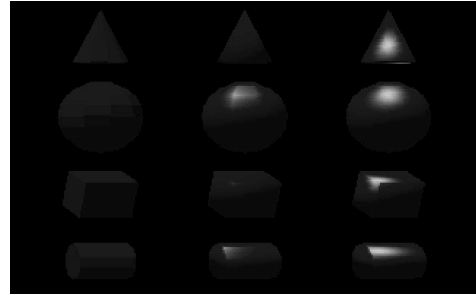
## Phong Smooth Shading

- Interpolate the vertex normal vectors
  - Instead of the intensities
  - Means a Phong intensity calculation for each pixel on each polygon
  - Much more compute intensive
  - But “catches” specular highlights that Gouraud misses
  - More realistic images

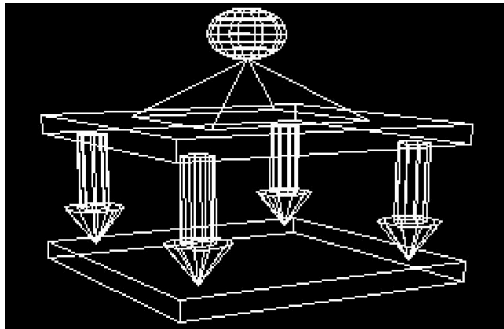
Flat

Gouraud

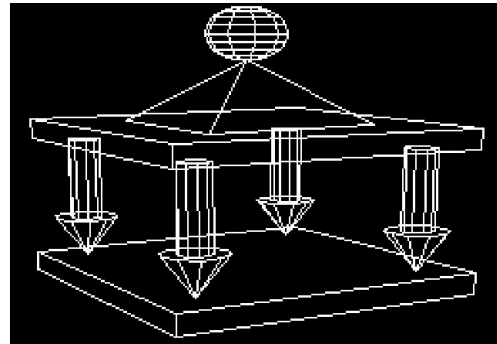
Phong



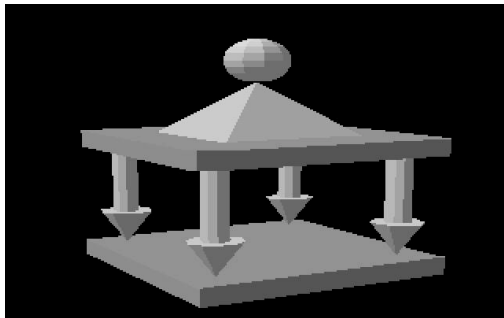
## Polygon Mesh (no hidden surface removal)



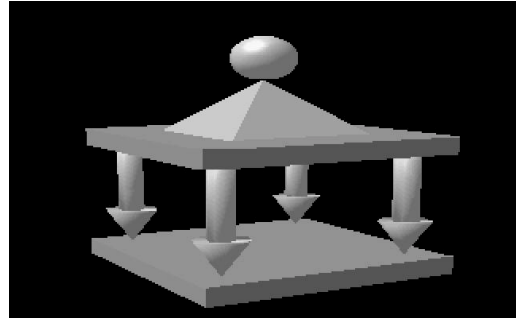
## Polygon Mesh (Back-Face Culling)



## Polygon Mesh (Z-Buffer hidden Surface removal + Flat Shading)



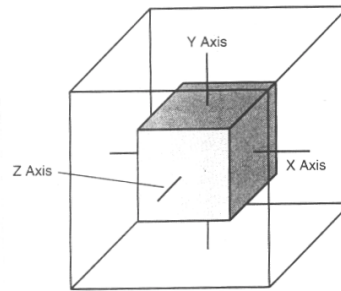
## Polygon Mesh (Z-Buffer and Flat/Gouraud/Phong shading)



## 3D Graphics Using OpenGL

- Building Polygon Models
- Matrix Transformations
- Lighting and Reflection

## OpenGL 3D Coordinate System



## Defining 3D Polygons in OpenGL

- e.g, front face of a cube

```
glBegin(GL_POLYGON)
  glVertex3f(-0.5f, 0.5f, 0.5f);
  glVertex3f(-0.5f, -0.5f, 0.5f);
  glVertex3f(0.5f, -0.5f, 0.5f);
  glVertex3f(0.5f, 0.5f, 0.5f);
glEnd();
```

## Projection Transformation

First tell OpenGL you're using the projection matrix

```
glMatrixMode(GL_PROJECTION);
```

Then initialize it to the Identity matrix

```
glLoadIdentity();
```

Then define the viewing volume

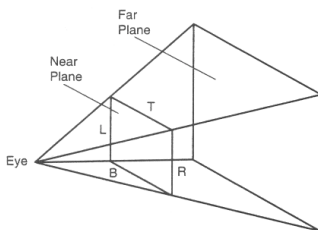
```
glFrustum(-1.0, 1.0, -1.0, 1.0, 2.0, 7.0);
```

(left, right, bottom, top, near, far)

Eye is at (0,0,0)

## The Viewing Volume

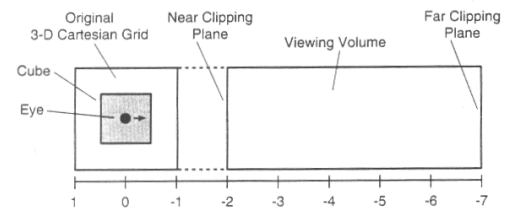
Everything outside viewing volume is clipped  
Think of near plane as being window's client area



## Modelview Transformation

Our cube is not visible

It lies in front of near clipping plane



## Modelview Transformation

To perform geometric translations, rotations, scalings

Need to move our cube into the viewing volume

```
glMatrixMode(GL_MODELVIEW);
glLoadIdentity();
glTranslate(0.0f, 0.0f, -3.5f);
Translates cube down z-axis by 3.5 units
```

OpenGL performs transformations on all vertices

First modelview transformation

Then perspective transformation

## Scaling and Rotating a Model

```
glScalef(2.0f, 2.0f, 2.0f); //twice as big
parameters: sx, sy, sz
```

```
glRotatef(30.0f, 0.0f, 0.0f, 1.0f) //30degrees about z-axis
parameters: angle, (x,y,z) coordinates of vector about
which to rotate
```

## Typical code for a polygon mesh model

```
glMatrixMode(GL_PROJECTION);
glLoadIdentity();
glFrustum(-1.0, 1.0, -1.0, 1.0, 2.0, 7.0);

glMatrixMode(GL_MODELVIEW);
glLoadIdentity();
glTranslatef(0.0f, 0.0f, -3.5f);
glRotatef(30.0f, 0.0f, 0.0f, 1.0f);
glClearColor(1.0f, 1.0f, 1.0f, 1.0f); // set background color
glClear(GL_COLOR_BUFFER_BIT); // clear window
glColor3f(0.0f, 0.0f, 0.0f); // drawing color
glPolygonMode(GL_FRONT_AND_BACK, GL_LINE);
glBegin(GL_POLYGON); //define polygon vertices here
glEnd();
```

## Illumination & Reflection in OpenGL

- Uses the Phong Illumination/Reflection Model

## Final Phong Illumination/Reflection Model Result (Single Light Source)

- Three color intensity equations:

$I(r,g,b) = \text{Ambient} + \text{Point Diffuse} + \text{Point Specular}$

$$I(r,g,b) = kd(r,g,b)*I_a$$
$$+ I_p*kd(r,g,b)*(N.L)$$
$$+ I_p*ks*(R.V)^n$$



## Illumination & Reflection in OpenGL

- Define Light Sources
- Define Material Properties
- Define Normal Vectors
- Specify Shading Model
- Enable Depth Testing (Z-Buffer)

## Defining a Light Source

- Set up Arrays of lighting values

```
GLfloat ambLight0[] = {0.3f, 0.3f, 0.3f, 1.0f}; // R,G,B, $\alpha$ 
GLfloat diffLight0[] = {0.5f, 0.5f, 0.5f, 1.0f};
GLfloat specLight0[] = {0.0f, 0.0f, 0.0f, 1.0f};
GLfloat posnLight0[] = {1.0f, 1.0f, 1.0f, 0.0f}; // x,y,z,w
```
- Pass Arrays to OpenGL

```
glLightfv(GL_LIGHT0, GL_AMBIENT, ambLight0);
glLightfv(GL_LIGHT0, GL_DIFFUSE, diffLight0);
glLightfv(GL_LIGHT0, GL_SPECULAR, specLight0);
glLightfv(GL_LIGHT0, GL_POSITION, posnLight0);
- (Set Modelview matrix to Identity first)
```

## Enabling a Light Source

- Turning on the light source

```
glEnable(GL_LIGHTING);
glEnable(GL_LIGHT0);
```

## Material Reflection Properties

- Set up Material Arrays
  - ambient/diffuse reflection coefficients

```
GLfloat mat_ambdiff[] = {0.0f, 0.7f, 0.0f, 1.0f};
```
  - specular reflection coefficient

```
GLfloat mat_spec[] = {1.0f, 1.0f, 1.0f, 1.0f};
```
- Pass Material Arrays to OpenGL

```
glMaterialfv(GL_FRONT,
GL_AMBIENT_AND_DIFFUSE,
mat_ambdiff);
glMaterialfv(GL_FRONT, GL_SPECULAR, mat_spec);
glMaterialf(GL_FRONT, GL_SHININESS, 20.0f);
```

## Defining Normals

- Must compute normals for all polygons
- OpenGL has no function to do that
  - So write your own
- Assume the result is:

```
double n[3];
```
- Use this when you define the polygon

```
glBegin(GL_POLYGON)
  glNormal3f((GLfloat)n[0], (GLfloat)n[1], (GLfloat)n[2]);
  // glVertex3f() calls here for polygon vertices
glEnd();
```

## Specify a Shading Model & Enable Depth Testing

```
glShadeModel(GL_FLAT); // use GL_SMOOTH
for Gouraud shading
glEnable(GL_DEPTH_TEST);
glClear (GL_COLOR_BUFFER_BIT |
GL_DEPTH_BUFFER_BIT);
// clear frame buffer and z-buffer
```

### Some sample code (view class: OnDraw)

```
glShadeModel(GL_SMOOTH);
glEnable(GL_DEPTH_TEST);
glClearColor(1.0f, 1.0f, 1.0f, 1.0f);
glClear(GL_COLOR_BUFFER_BIT | GL_DEPTH_BUFFER_BIT);
glMatrixMode(GL_MODELVIEW); glLoadIdentity();
glMaterialfv(GL_FRONT, GL_AMBIENT_AND_DIFFUSE, mat_ambdiff);
glMaterialfv(GL_FRONT, GL_SPECULAR, mat_spec);
glMaterialf(GL_FRONT, GL_SHININESS, 20.0f);
glLightfv(GL_LIGHT0, GL_AMBIENT, ambLight0);
glLightfv(GL_LIGHT0, GL_DIFFUSE, diffLight0);
glLightfv(GL_LIGHT0, GL_SPECULAR, specLight0);
glLightfv(GL_LIGHT0, GL_POSITION, posnLight0);
glEnable(GL_LIGHTING); glEnable(GL_LIGHT0);

DrawCube();
glFlush();
```

### Code from DrawCube() function

```
glTranslatef(0.0f, 0.0f, -3.0f); // position cube
glRotatef(20.0f, 1.0f, 0.0f, 0.0f);
glRotatef(20.0f, 0.0f, 1.0f, 0.0f);
// Draw the polygons of the cube, one face given here:
double p1[] = {-0.5, 0.5, 0.5}; double p2[] = {-0.5, -0.5, 0.5};
double p3[] = {0.5, -0.5, 0.5}; double n[3];
CalcNormal(p1, p2, p3, n);
glBegin(GL_POLYGON);
    glNormal3f((GLfloat)n[0], (GLfloat)n[1], (GLfloat)n[2]);
    glVertex3f(-0.5f, 0.5f, 0.5f);
    glVertex3f(-0.5f, -0.5f, 0.5f);
    glVertex3f(0.5f, -0.5f, 0.5f);
    glVertex3f(0.5f, 0.5f, 0.5f);
glEnd();
```

### Code from CalcNormal(double \*p1, double \*p2, double \*p3, double \*n)

```
// Form two vectors from the points.
double a[3], b[3];
a[0] = p2[0] - p1[0]; a[1] = p2[1] - p1[1]; a[2] = p2[2] - p1[2];
b[0] = p3[0] - p1[0]; b[1] = p3[1] - p1[1]; b[2] = p3[2] - p1[2];
// Calculate the cross product of the two vectors.
n[0] = a[1] * b[2] - a[2] * b[1];
n[1] = a[2] * b[0] - a[0] * b[2];
n[2] = a[0] * b[1] - a[1] * b[0];
// Normalize the new vector.
double length = sqrt(n[0]*n[0]+n[1]*n[1]+n[2]*n[2]);
n[0] = n[0] / length;
n[1] = n[1] / length;
n[2] = n[2] / length;
```