

**3-D Geometric Transformations**  
**3-D Viewing Transformation**  
**Projection Transformation**

## **3-D Geometric Transformations**

- Move objects in a 3-D scene
- Extension of 2-D Affine Transformations
- Three important ones:
  - Translation
  - Scaling
  - Rotations

## Representing 3-D Points

- Homogeneous coordinates
- $P(x, y, z) \rightarrow P'(x', y', z')$

$$\begin{array}{ccc} \text{---} & & \text{---} \\ | x | & & | x' | \\ | y | & \rightarrow & | y' | \\ | z | & & | z' | \\ | \_1 \_ | & & | \_1 \_ | \end{array}$$

## Homogeneous Translation Matrix

- Given three translation components  $t_x, t_y, t_z$   
 $P' = T * P$
- $T$  is the following 4 X 4 scaling matrix:

$$T = \begin{array}{ccc} \text{---} & & \text{---} \\ | 1 & 0 & 0 & t_x | \\ | 0 & 1 & 0 & t_y | \\ | 0 & 0 & 1 & t_z | \\ | \_0 & \_0 & \_0 & \_1 \_ | \end{array}$$

## Scaling with respect to origin

- Given three scaling factors  $s_x, s_y, s_z$

$$P' = S * P$$

- S is the following 4 X 4 scaling matrix:

$$S = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

## Rotations

- Need to specify angle of rotation
- And axis about which the rotation is to be performed
- Infinite number of possible rotation axes
  - Rotation about any axis: linear combinations of rotations about x-axis, y-axis, z-axis

## Z-Axis Rotation Matrix

$$R_z = \begin{pmatrix} \cos(\theta) & -\sin(\theta) & 0 & 0 \\ \sin(\theta) & \cos(\theta) & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

## X-Axis Rotation matrix

$$R_x = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) & 0 \\ 0 & \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

## Y-Axis Rotation Matrix

$$R_y = \begin{pmatrix} \cos(\theta) & 0 & \sin(\theta) & 0 \\ 0 & 1 & 0 & 0 \\ -\sin(\theta) & 0 & \cos(\theta) & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

## Rotation Sense

- Positive sense
  - Defined as counter clockwise as we look down the rotation axis toward the origin

## Composite 3-D Geometric Transformations

- Series of consecutive transformations
  - Represented by homogeneous transformation matrices  $T_1, T_2, \dots, T_n$
- Equivalent to a single transformation
  - Represented by composite transformation matrix  $T$
  - $T$  is given by the matrix product:  
$$T = T_n * \dots * T_2 * T_1$$
  - First one on the left, last one on the right
- Just like in 2-D, except matrices are 4 X 4

## Library of 3-D Transformation Functions

- 3-D Transformation Package
- Straightforward Extension of 2-D
- Enables setting up and transforming points & polygons
- 4 X 4 Matrices have 12 non-trivial matrix elements
- Package Might contain the following functions:

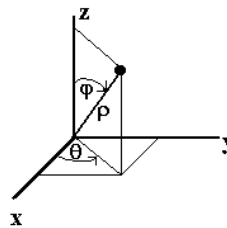
## 3-D Transformation Functions

```
void settranslate3d(a[12], tx, ty, tz);
void setscale3d(a[12], sx, sy, sz);
void setrotatex3d(a[12], theta);
void setrotatey3d(a[12], theta);
void setrotatez3d(a[12], theta);
void combine3d(c[12], a[12], b[12]); // C = A * B
void xformcoord3d(c[12], vi, *vo); // vo = C * vi
void xformpoly3d(inpoly[], outpoly[], float c[12]);
```

- a, b, and c are arrays
  - Contain 12 non-trivial matrix elements of a 4 X 4 homogeneous transformation matrix
- vi and vo are 3-D point structures; inpoly and outpoly are polygons

## Rotation about an Arbitrary Axis

- Rotate point P by angle  $\alpha$  about a line
- Given: endpoints  $P1=(x1,y1,z1)$  &  $P2=(x2,y2,z2)$
- Convert problem into rotation about x-axis
  1. Translate so that P1 is at origin:  $T1 = T(-x1,-y1,-z1)$
  2. Compute spherical coordinates of the other endpoint:  
$$\rho = \sqrt{(x2-x1)^2 + (y2-y1)^2 + (z2-z1)^2}$$
$$\phi = \arccos((z2-z1)/\rho)$$
$$\theta = \arctan((y2-y1)/(x2-x1))$$

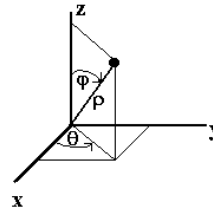


- 3. Rotate about z-axis by  $-\theta$  so line lies in x-z plane:

$$T2 = Rz(-\theta)$$

- 4. Rotate about y-axis by  $(90-\phi)$  to make line coincide with x-axis:

$$T3 = Ry(90-\phi)$$



- 5. Rotate about x-axis by given angle  $\alpha$ :  $T4 = Rx(\alpha)$

- 6. Rotate back to undo step 4:  $T5 = Ry(\phi-90)$

- 7. Rotate back to undo step 3:  $T6 = Rz(\theta)$

- 8. Translate back to undo step 1:  $T7 = T(x1,y1,z1)$

- Composite transformation then will be:

$$T = T7 * T6 * T5 * T4 * T3 * T2 * T1$$

## 3-D Coordinate System Transformations

- There's a symmetrical relationship between 3-D geometric transformations

- (moving the object)

and 3-D coordinate system transformations

- (moving the coordinate system)

- For translations, relationship is:

$$T_{\text{coord}}(x,y,z) = T_{\text{geom}}(-x,-y,-z)$$

- For each principal-axis, rotation relationship is:

$$R_{\text{coord}}(\theta) = R_{\text{geom}}(-\theta)$$

- Useful in deriving 3-D viewing transformation



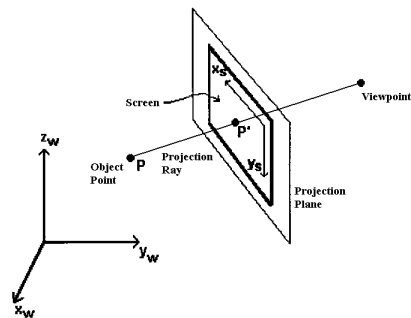
## 3D Viewing and Projection

- See CS-460/560 notes on 3-D Viewing and Projection Transformations

<http://www.cs.binghamton.edu/~reckert/460/3dview.htm>

## 3D Viewing/Projection Transformations

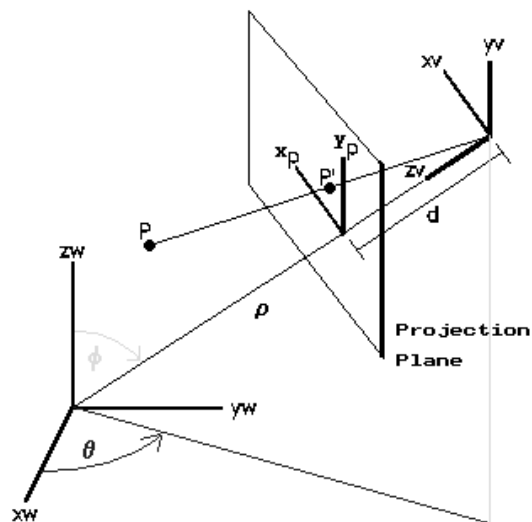
- 3-D points in model must be transformed to viewing coordinate system
  - the Viewing Transformation
- Then projected onto a projection plane
  - Projection Transformation



## 3-D Viewing Transformation

- Converts world coordinates  $(x_w, y_w, z_w)$  of a point to viewing coordinates  $(x_v, y_v, z_v)$  of the point
    - As seen by a "camera" that is going to "photograph" the scene
- $(x_w, y_w, z_w) \text{ -----> } (x_v, y_v, z_v)$   
Viewing transformation

## 3-D Viewing Transformation



## Projection Transformation

- Converts viewing coordinates  $(x_v, y_v, z_v)$  of a point to 2-D coordinates  $(x_p, y_p)$  of that point's projection onto a projection plane
- Think of projection plane as containing screen upon which the image is to be displayed

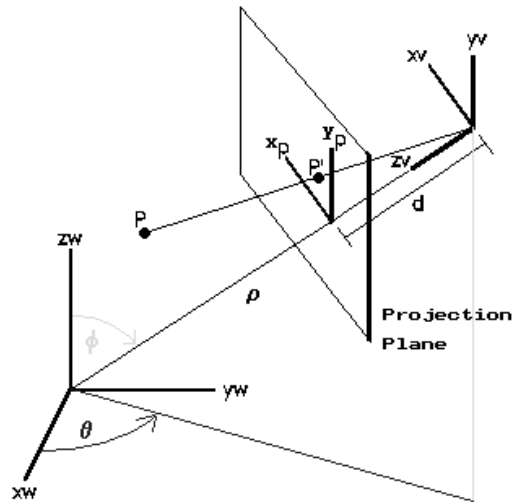
$(x_v, y_v, z_v)$  ----->  $(x_p, y_p)$

Projection transformation

## Viewing Setups

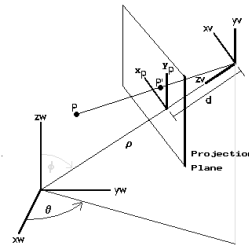
- Specify position/orientation of coordinate systems & projection plane
- Many possible viewing setups
- We'll use a simple, 4-parameter viewing setup
  - Camera located at origin of viewing coordinate system
  - Somewhat restricted
  - But adequate for most common situations

## 4-Parameter Viewing Setup



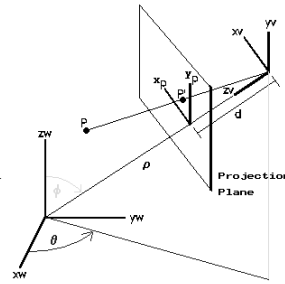
## Parameters

- Position of viewpoint (camera location)
  - Position of origin of Viewing Coordinate System (VCS)
  - Specify in spherical coordinates
    - distance  $\rho$  from world coordinate system (WCS) origin
    - azimuthal angle  $\theta$
    - polar angle  $\phi$
- Distance  $d$  of Projection Plane from viewpoint

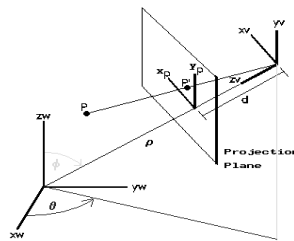


## Viewing Setup Properties

- VCS  $z_v$ -axis points toward WCS origin
  - So objects we want to be visible must be placed close to WCS origin
- Proj. Plane is perpendicular to  $z_v$ -axis at a distance  $d$  from VCS origin
  - So  $\rho$  must be greater than  $d$
- Center of projection coincides with VCS origin

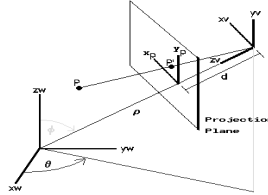


- VCS's  $y_v$ -axis is parallel to projection of WCS's  $z_w$ -axis
  - So WCS  $z_w$ -axis defines "screen up" direction
- VCS's  $x_v$ -axis is chosen so that  $x_v$ - $y_v$ - $z_v$  axes form a left-handed coordinate system
  - objects far from the VCS's origin have large  $z_v$
- 2-D Projection Plane coordinate system's origin is at intersection of  $\rho$  and Projection Plane
  - Its  $x_p$ - $y_p$ -axes are projections of  $x_v$ - $y_v$  axes onto Proj. Plane
    - i.e.,  $x_v$ - $y_v$  translated a distance  $d$  along  $z_v$  axis

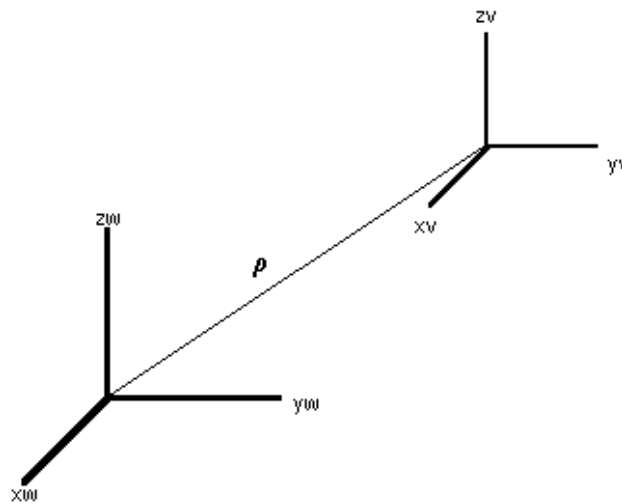


## 3-D Viewing Transformation

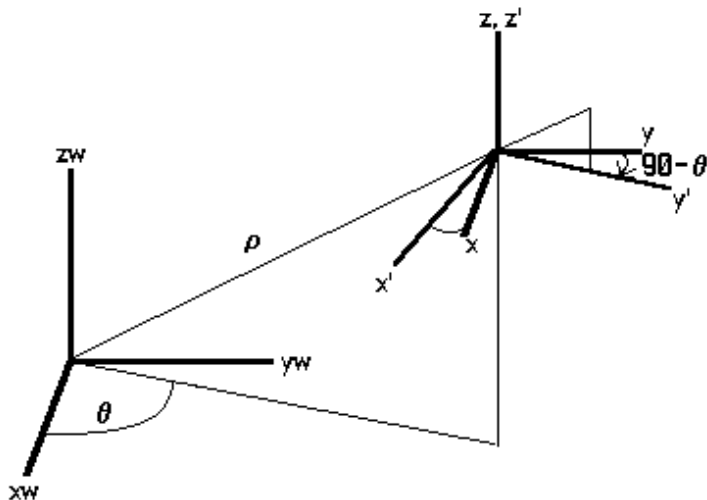
- Must convert  $xw-yw-zw$  to  $xv-yv-zv$  system
- A coordinate system transformation
- Perform the following steps:
  1. Translate origin by distance  $\rho$  in direction  $(\theta, \phi)$
  2. Rotate by  $-(90-\theta)$  degrees about  $z$ -axis to bring new  $y$ -axis into plane of  $zw$  and  $\rho$
  3. Rotate by  $(180-\phi)$  about  $x$ -axis to point transformed  $z$ -axis toward origin of world coordinate system
  4. Invert  $x$ -axis



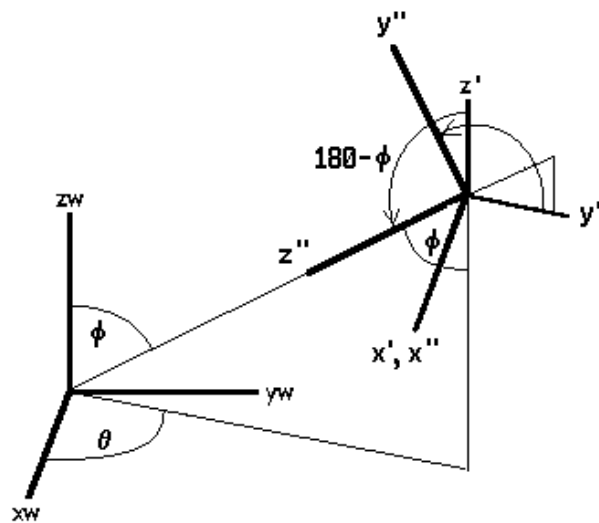
## Viewing Xform: 1. Translate by $\rho$



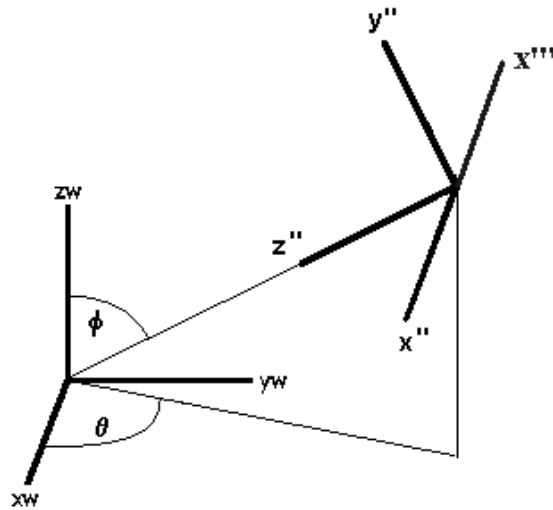
## 2. Rotate by $-(90-\theta)$ about $z$



## 3. Rotate by $(180-\phi)$ about $x$



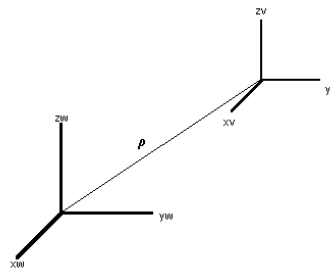
## 4. Invert x-axis



## 1. Translate by $\rho$

- Homogeneous transformation matrix for translation by  $(x,y,z)$ :

$$T_{\text{geom}} = \begin{bmatrix} 1 & 0 & 0 & x \\ 0 & 1 & 0 & y \\ 0 & 0 & 1 & z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



- Use relationship between coordinate system transformations & geometric transformations:  
 $T_{\text{coord}}(x,y,z) = T_{\text{geom}}(-x,-y,-z)$



- So first transformation matrix, T1:

$$T1 = \begin{bmatrix} 1 & 0 & 0 & -x \\ 0 & 1 & 0 & -y \\ 0 & 0 & 1 & -z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- Express x, y, z in terms of  $\rho$ ,  $\theta$ ,  $\phi$  (spherical coordinates)

$$x = \rho \cdot \sin(\phi) \cdot \cos(\theta)$$

$$y = \rho \cdot \sin(\phi) \cdot \sin(\theta)$$

$$z = \rho \cdot \cos(\phi)$$

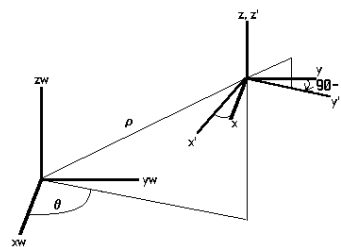
## 2. Rotate by $-(90-\theta)$ about z

- Use relationship between coordinate system rotations & geometric rotations:

$$T_{\text{coord}}(\alpha) = T_{\text{geom}}(-\alpha)$$

- So transformation is  $T2 = R_z(90-\theta)$ :

$$T2 = \begin{bmatrix} \cos(90-\theta) & -\sin(90-\theta) & 0 & 0 \\ \sin(90-\theta) & \cos(90-\theta) & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

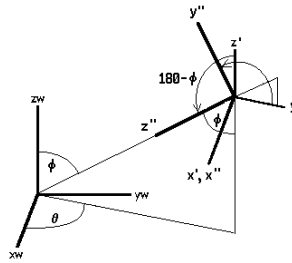


### 3. Rotate by $(180-\phi)$ about x

- Again use relationship between geometric & coordinate system rotations:

So  $T_3 = R_x(\phi - 180)$ :

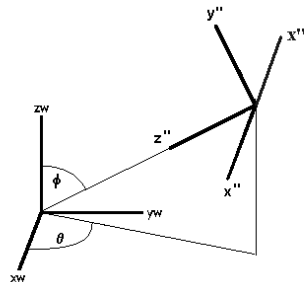
$$T_3 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\phi-180) & -\sin(\phi-180) & 0 \\ 0 & \sin(\phi-180) & \cos(\phi-180) & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



### 4. Invert x-axis

- Result of step 3: x-axis points opposite from direction it should
  - Because WCS is right-handed, while VCS is left-handed
- So need to reflect across  $y''-z''$  plane
  - Will convert  $x$  to  $-x$

$$T_4 = \begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



## Composite Viewing Transformation Matrix

- $T_v = T_4 * T_3 * T_2 * T_1$
- Result (after simplification):

$$T_v = \begin{bmatrix} -\sin(\theta) & \cos(\theta) & 0 & 0 \\ -\cos(\phi) * \cos(\theta) & -\cos(\phi) * \sin(\theta) & \sin(\phi) & 0 \\ -\sin(\phi) * \cos(\theta) & -\sin(\phi) * \sin(\theta) & -\cos(\phi) & \rho \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

## Projection Transformation

- Look down xv axis at viewing setup:

Triangles OAP' & OBP are similar

So set up proportion:

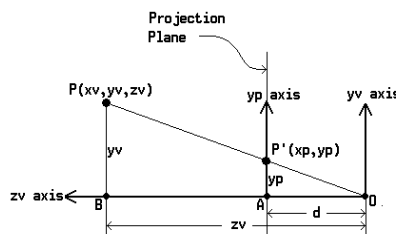
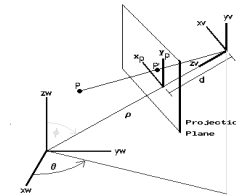
$$\frac{y_p}{y_v} = \frac{d}{z_v}$$

Solve for  $y_p$ :

$$y_p = (y_v * d) / z_v$$

Look down yv axis for  $x_p$ :

Result:  $x_p = (x_v * d) / z_v$



## Plotting Points on Screen

- Get screen coordinates (xs,ys) from Projection Plane coordinates (xp,yp)
- Final Transformation:  
2D Window-to Viewport Transformation  
(xs,ys) <--- (xp,yp)  
See earlier notes
  - Replace xv,yv with xs,ys
  - Replace xw,yw with xp,yp

## Skeleton Pyramid Program: Data Structures

```
// Build and display a polygon mesh model of a 4-sided pyramid:
struct point3d {float x; float y; float z;}; // a 3d point
struct polygon {int n; int *inds;}; // a polygon
struct point3d w_pts[5]; // 5 world coordinate vertices
struct point3d v_pts[5]; // 5 viewing coordinate vertices
POINT s_pts[5]; // 5 screen coordinate vertices
struct polygon polys[5]; // 5 polygons define the pyramid

// global variables:
float v11,v12,v21,v22,v23,v31,v32,v33,v34; // view xform matrix elements
int screen_dist; float rho, theta, phi; // viewing parameters
int xmax,ymax; // Screen dimensions
int num_vertices=5, num_polygons=5;
```

## Skeleton Pyramid Program: Function Prototypes

```
void coeff (float r, float t, float p); // calculates viewing transformation
                                         // matrix elements, vii
void convert (float x, float y, float z,
             float *xv, float *yv, float *zv,
             int *xs, int *ys); // converts a 3D world coordinate point to
                               // 3D viewing & 2D screen coordinates
                               // i.e., viewing and projection transformations
void build_pyramid (void); // sets up pyramid points and polygons
                    // arrays (see last set of notes)
void draw_polygon (int p); // draws polygon p
```

## Skeleton Pyramid Program: Function Skeletons

```
// Main Function--Called whenever pyramid is to be displayed
void main_ftn ( )
{
// Get or set values of rho, theta, phi, and screen_dist here
build_pyramid (void); // build polygon model of the pyramid
coeff (rho,theta,phi); // compute transformation matrix elements
for (int i=0; i<num_vertices; i++)
    { // Loop to convert polygon vertices from world coordinates
      // to viewing and screen coordinates; must call convert ( ) each time}
for (int f=0; f<num_polygons; f++)
    { // Loop to draw each polygon face
      // must call draw_polygon (f) }
}
```

```
void coeff (float r, float t, float p)
{ // Code to compute non-trivial viewing transformation matrix
  // elements: v11,v12,v21,v22,v23,v31,v32,v33,v43 }

void convert (float x, float y, float z,
              float *xv, float *yv, float *zv, int *xs, int *ys)
{ // Code to compute viewing coordinates and screen coordinates of
  // a point from its 3-D world coordinates. Must implement viewing,
  // projection, and window-to-viewport transformations described
  // in class }

void build_pyramid (void)
{ // Code to define the pyramid by setting up w_pts & polys arrays }
```

```
void draw_polygon (int p)
{
  // Code to draw polygon p by:
  // obtaining its vertex numbers from the polys array
  // getting the screen coordinates of each vertex from the s_pts array
  // making appropriate calls to the system polygon-drawing primitive
}
```